

Commissions and Fees



Online

Commission Schedule

Stocks*—All Order Types

Internet.....	\$7
Touchtone (IVR) Phone System.....	\$17
Broker-Assisted.....	\$27

*For stocks priced under \$1, add ½% of the principal value to the commissions shown. Some foreign and pink sheet stocks must be traded with broker assistance. Commissions are charged on a per order basis. Limit orders executed over multiple days and orders modified after a partial execution on the same day will be treated as separate orders for commission calculation purposes. A majority of orders must be executed online to qualify for the online commission rates. Scottrade receives compensation for certain equity and option orders as described more completely in our Order Routing and Execution page. Generally, this compensation will take the form of payment for order flow, profit sharing arrangements, liquidity rebates or possible trading profits if Scottrade executes the order as a principal. For non-online accounts, the commission for market and limit orders is the broker assisted rate plus .02 per share for stocks that are \$1 and above.

Options* (Equity, Index or ETF)—All Order Types

Internet.....	\$7+\$1.25 per contract
Touchtone (IVR) Phone System.....	\$17+ \$1.25 per contract
Broker-Assisted.....	\$27+ \$1.25 per contract
Option Exercises and Assignments.....	\$17

* Options involve risk and are not suitable for all investors. Detailed information on our policies and the risks associated with options can be found in the Scottrade Options Application and Agreement, Brokerage Account Agreement, and by downloading the Characteristics and Risks of Standardized Options and Supplements (PDF) from The Options Clearing Corporation, or by requesting a copy from your local branch office. Supporting documentation for any claims will be supplied upon request.

Exchange-Traded Funds* (ETFs)—All Order Types

Focus™ Morningstar ETFs can be traded for \$0 commissions online.

A list of Focus™ Morningstar ETFs is available at www.scottrade.com/ETF.

Internet.....	\$7
Touchtone (IVR) Phone System.....	\$17
Broker-Assisted.....	\$27

*Investors should consider the investment objectives, charges, expense, and unique risk profile of an Exchange-Traded Fund (ETF) carefully before investing. Leveraged and Inverse ETFs may not be suitable for long-term investors and may increase exposure to volatility through the use of leverage, short sales of securities, derivatives and other complex investment strategies. A prospectus contains this and other information about the ETF and should be obtained from the issuer. The prospectus should be read carefully before investing.

Mutual Funds*

Fund Type	Buy	Sell	Exchange
No-Load, No-Transaction Fee (NTF) Funds**	No Fee	No Fee	No Fee
No-Load Fund (not in NTF program)	\$17	\$17	\$17
Load Funds	No Fee	\$17***	\$17

*Broker-assisted no-load mutual fund orders are an additional \$20. In addition to the commissions above, NTF and No-Load Fund shares not in the NTF program that are purchased from Scottrade and are held fewer than 90 days will be charged a \$17 short-term redemption fee. Exceptions to Scottrade's short-term redemption fee are: Rydex, ProFunds and Direxionfunds families of funds, which are intended for short-term traders. With regard to No-Loads that are not in the NTF program, Systematic Purchase/Redemptions will be charged \$2 per occurrence. Mutual fund purchase orders at Scottrade must be the greater of \$100 or the fund's minimum initial investment.

You must have sufficient settled funds in the account to meet both the applicable minimum and cover transaction fees and other fees prior to placing the trade.

** NTF funds are subject to the terms and conditions of the NTF funds program. Scottrade is compensated by the funds participating in the NTF program through record keeping, shareholder or SEC Rule 12b-1 fees.

*** Not applicable if the fund charges a contingent deferred sales charge.

Traditional

Non-Online Commission Schedule

Scottrade also welcomes traditional brokerage customers who do not transact their business over the Internet. For your convenience, we have more than 500 offices across the country staffed with brokers who will promptly transact your business over the phone or in person.

Our traditional commissions for stock and ETF orders are just two cents per share in addition to the broker-assisted and touchtone rates. Rates for Focus™ Morningstar ETFs are \$20 for broker-assisted transactions and \$10 for touchtone transactions. The broker-assisted commissions for other types of transactions, including stocks priced under \$1, also apply to our traditional customers.

As a traditional customer, you will receive mailed confirmations and statements at no additional charge.

Additional Fees and Services

Service Fees

Mailed Confirmation to an Internet Account.....	\$1
Mailed Statement to an Internet Account.....	\$2
Duplicate Historical Confirmation.....	\$2
Duplicate Historical Statement.....	\$3
Overnight Delivery.....	\$20
Returned Check.....	\$20
Notices (such as Sell-out or Buy-in).....	\$20
Wire Funds Out Domestic/International.....	\$20/\$40
Voluntary Reorganizations.....	\$25
Legal Items.....	\$25
Cashless Stock Options Processing Fee.....	\$50
(Plus applicable commission and margin interest)	
Merger/Redemption Deposit of Old Stock Certificate.....	\$90
Regulatory Transaction Fee.....	\$.00224 per \$100 of sales proceeds
DTC Certificate Deposit Rejection	
Due to Stop Transfer/Certificate Replacement.....	\$125
ADR Fee Passed on from Issuing/Managing Bank.....	Typically \$0 to \$.50 per share

All commissions, fees and rates are subject to change without notice. Fees assessed by third parties for processing transactions may be passed through to your account. Also, note some special services may also require additional fees or transaction minimums not specifically listed here.

No Cost, Value-Added Services

Annual Account Maintenance.....	No Charge
Inactive Account.....	No Charge
Transfer or Closing Account.....	No Charge
Dow Jones Real-Time News.....	No Charge
Real-Time Streaming Quotes & Charts.....	No Charge
Cost-Basis Reporting.....	No Charge
Incoming/Outgoing Direct Registration System (DRS).....	No Charge
Direct Withdraw at Custodian (DWAC).....	No Charge
Mobile Trading.....	No Charge
Knowledge Center Access.....	No Charge
Scottrade Online Community.....	No Charge
In-Person Education Events at Scottrade Offices.....	No Charge