Scottrade®

Custodial/Conservatorship/Guardianship Account Opening Instructions

Conditions to Open the Account:

- One custodian per account. The custodian must be an individual, not a trust or other legal entity.
- Trade authority is allowed on custodian accounts.
- The minor or protected person's information should be listed on the Applicant (left) side of the application and the custodian/conservator/guardian information should be listed on the Co-Applicant (right) side.
- The minor or protected person must have a Social Security number.
- No margin.
- Options are limited to covered calls only.
- Note: For Conservatorship/Guardianship Accounts only – Two guardians are acceptable if court appointed and trading authorizations are not allowed.

Required Forms:

Please print legibly - do not use correction fluid or cross out information.

- **Brokerage Account Application** – Please complete and sign. This form must be signed by the custodian/conservator/guardian.
- **Brokerage Account Agreement** – Read before signing the Application and retain a copy for your records. You do not need to return this document.

Additional Documentation:

- For conservatorship/guardianship accounts, a copy of appointment of conservatorship/guardianship is required (must be dated within the last 12 months). If it states document only valid with seal, the seal must be visible.