



## Limited Liability Partnership or Limited Liability Company Account Opening Instructions

### Conditions to Open an LLC Account:

- On the LLC Certification form, the customer must check the box that identifies whether the account is manager-managed or member-managed. This form is sufficient to represent how the LLC will be managed.

### Conditions to Open an LLP Account:

- Partnership must consist of two or more individuals and/or legal entities.
- Partnership accounts must use Federal Tax ID number.
- On the LLP Certification form, the customer must check the box that identifies whether the account is an LLP or LLLP.

### Required Forms:

*Please print legibly - do not use correction fluid or cross out information.*

- **Brokerage Account Application** – For an LLC, this form must be signed by up to three designated managers/members. For an LLP, this form must be signed by all general partners.
- **Brokerage Account Agreement** – Read before signing the Application and retain a copy for your records. You do not need to return this document.
- **LLC Certification form or LLP Certification form**

### Additional Documents:

- For an LLC, a copy of the customer's state-issued LLC certificate and a copy of the articles of organization.
- For LLP, a copy of the client's partnership agreement signed by all partners.