



Qualified Plan Account Opening Instructions

Includes 401(k), Pension, Profit Sharing Plans and Keogh

Conditions to Open the Account:

- Scottrade does not permit plans drawn up by another firm/financial institution where the plan sponsor/administrator is that firm/financial institution.
- Scottrade does not provide a prototype plan.

Required Forms:

Please print legibly - do not use correction fluid or cross out information.

- **Brokerage Account Application** – All trustees must sign.
- **Brokerage Account Agreement** – Read before signing the Application and retain a copy for your records. You do not need to return this document.
- **Qualified Plan Certification** – All trustees must sign

Additional Documents Needed:

- **Adoption Agreement** – A complete copy of the customers Adoption Agreement signed by all trustees is required.