Scottrade®

SIMPLE IRA
Opening Instructions

Conditions to Open the Account:

- One applicant per application.
- No margin.
- In the community property states of AZ, CA, ID, LA, NM, NV, TX, WA and WI, the spouse needs to sign the spousal consent section on page 2 if he/she is not the only primary beneficiary.

Required Forms:

Please print legibly - do not use correction fluid or cross out information.

- **SIMPLE IRA Application** – If your employer's plan is held at Scottrade, be sure to include the plan ID number on page 2 under "SIMPLE IRA Account Identification."
- **If the employer is a Sole Proprietorship** – Must complete the Scottrade Sole Proprietorship Certification Document.
- **SIMPLE IRA Agreement & Disclosures** – Read the Agreements and Disclosures before signing the Application and retain a copy for your records. You do not need to return this document.
- **Brokerage Account Agreement** – Read before signing the Application and retain a copy for your records. You do not need to return this document.