

# Coverdell ESA Account Opening Instructions

## Conditions to Open a Coverdell ESA Account

When filling out the Brokerage Account Application, please indicate *Coverdell ESA* in the **Account Type** field.

## Required Forms and Agreements – Please print legibly; do not use correction fluid or cross out information.

### 1. [Brokerage Account Application](#)

Application Section	Special Considerations
<b>Do you have any other <i>account(s)</i> with Scottrade?</b> (page 1)	Accounts refer to brokerage accounts, accounts over which you have power of attorney (POA), trading authority or account linking.
<b>Primary Applicant</b>	Minor or Protected Person's information. All fields are required.
<i>In addition to completing all name, SSN / tax ID, address and phone number fields, please take special note of:</i>	
<b>Citizenship</b>	Complete all fields, where applicable.
<b>Employment</b>	Complete all fields, where applicable. Include a description of your roles, duties and responsibilities in the Job Description field. Use the Employer Industry and Occupation Codes information sheet on page 3 to obtain codes for the Employer Industry and Occupation Code fields. Employer industry represents the overall business classification that describes your employer.
<b>Income and Net Worth</b>	Please provide this information to help us understand your financial status. Net worth is defined as the sum of all your assets less your liabilities.
<b>Affiliations</b>	Answer all questions and complete fields, where applicable.
<b>Co-Applicant</b> (page 2)	Responsible Individual's information.
<i>In addition to completing all name, SSN / tax ID, address and phone number fields, please take special note of:</i>	
<b>Expected Account Activities</b> (page 1)	Complete this section to help us understand how you anticipate using your Scottrade account. This information helps us fulfill certain regulatory requirements.
<b>Your Investment Profile</b>	Complete this section to help us understand your investment and trading experience. We will not use this information to supervise your suitability of any transaction in your account.
<b>Citizenship</b>	Complete all fields, where applicable.
<b>Employment</b>	Complete all fields, where applicable. Include a description of your roles, duties and responsibilities in the Job Description field. Use the Employer Industry and Occupation Codes information sheet on page 3 to obtain codes for the Employer Industry and Occupation Code fields. Employer industry represents the overall business classification that describes your employer.
<b>Income and Net Worth</b>	Please provide this information to help us understand your financial status. Net worth is defined as the sum of all your assets less your liabilities.
<b>Affiliations</b>	Answer all questions and complete fields, where applicable.
<b>Signatures</b>	Responsible Individual signs as Co-Applicant.
<b>Page 4</b>	Complete and sign the account beneficiary form.

### 2. [Brokerage Account Agreement](#)

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

### 3. [Coverdell ESA Agreement and Disclosures](#)

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

### 4. [Privacy Statement](#)

Please read this document and retain a copy for your records. You do not need to return this document.

### 5. [Trusted Contact Form](#) – This form authorizes Scottrade to communicate with individual(s) designated by the client if there are concerns about the client's physical or mental well-being.

## Available Account Features – Restrictions may apply; contact your [local Scottrade team](#) to confirm eligibility.

- [Account Linking](#)    
  [Margin](#)    
  Online Money Direct® Deposits (ACH)    
  [Options Trading](#)  
 Scottrade Bank® Account    
  Sweep Program    
  [TOD Beneficiary Plan](#)    
  [Trading Authorization](#)

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