

Trust Account Opening Instructions

Note about this Account Type

Investors opening Trust accounts are designated as [professional subscribers](#) if certain conditions are met. Professional subscribers will receive delayed quotes. [Click here](#) for more information about the professional subscriber designation. Please contact your [local Scottrade team](#) for more information or with questions.

Conditions to Open a Trust Account

When filling out the Brokerage Account Application, please indicate *Trust* in the **Account Type** field.

Required Forms and Agreements – Please print legibly; do not use correction fluid or cross out information.

1. [Brokerage Account Application](#)

Application Section	Special Considerations
Do you have any other account(s) with Scottrade? (page 1)	Accounts refer to brokerage accounts, accounts over which you have power of attorney (POA), trading authority or account linking.
Primary Applicant	Trust's information.
Income and Net Worth	Please provide this information to help us understand your financial status. Net worth is defined as the sum of all your assets less your liabilities.
Co-Applicant (page 2)	Trustee's information. For additional trustees, make an additional copy of page 2 to complete for each trustee; all pages must be submitted together with page 1 of the Application.
<i>In addition to completing all name, SSN / tax ID, address and phone number fields, please take special note of:</i>	
Expected Account Activities (page 1)	Complete this section to help us understand how you anticipate using your Scottrade account. This information helps us fulfill certain regulatory requirements.
Your Investment Profile	Complete this section to help us understand your investment and trading experience. We will not use this information to supervise your suitability of any transaction in your account.
Citizenship	Complete all fields, where applicable.
Employment	Complete all fields, where applicable. Include a description of your roles, duties and responsibilities in the Job Description field. Use the Employer Industry and Occupation Codes information sheet on page 3 to obtain codes for the Employer Industry and Occupation Codes fields. Employer industry represents the overall business classification that describes your employer.
Income and Net Worth	Please provide this information to help us understand your financial status. Net worth is defined as the sum of all your assets less your liabilities.
Affiliations	Answer all questions and complete fields, where applicable.
Signatures	Trustee signs as Co-Applicant

2. [Brokerage Account Agreement](#)

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

3. [Privacy Statement](#)

Please read this document and retain a copy for your records. You do not need to return this document.

4. [Trust Account Certification](#)

- This form must be signed by all current Trustees.
- A Successor Trustee is required.

5. [Additional Documentation](#)

- If the Trustee is an institution, submit a [Corporate Account Certificate](#) or provide a copy of the institution's Corporate Resolution.
- Scottrade reserves the right to ask for a copy of the Trust Agreement.

6. [Trusted Contact Form](#) – This form authorizes Scottrade to communicate with individual(s) designated by the client if there are concerns about the client's physical or mental well-being.

Available Account Features – Restrictions may apply; contact your [local Scottrade team](#) to confirm eligibility.

- [Account Linking](#) – Grantor and trustee must be the same person. [Margin](#) Online Money Direct® Deposits (ACH)
- [Options Trading](#) Sweep Program – Trustee must be a natural person or sole proprietorship. [TOD Beneficiary Plan](#)
- [Trading Authorization](#) – Grantor and Trustee must be the same person. [Scottrade Bank® Account](#) – Must be a Revocable Trust and use the primary Trustee's SSN (no EIN allowed).