



ACCOUNT TRANSFER FORM

SCOTTRADE ACCOUNT INFORMATION			
Scottrade Account Number		Scottrade Account Title (Name on Account)	
Social Security or Tax ID Number		Joint Account Holder Social Security or Tax ID Number	
INFORMATION ABOUT THE ACCOUNT YOU ARE TRANSFERRING			
Account Number		Account Title as it Appears on Your Most Recent Statement	
Name of Company From Which You Are Transferring			Telephone Number
Mailing Address of Company From Which You Are Transferring			City
State/Province	ZIP/Postal Code	Country	

PLEASE COMPLETE ONLY ONE OF THE FOLLOWING SECTIONS (A, B, C, OR D):

A. BROKERAGE ACCOUNT TRANSFER

This is a full transfer - **OR** - This is a partial transfer of only the assets listed below (attach additional pages if necessary)
 If transferring cash, specify dollar amount \$ _____ If transferring debit, specify dollar amount \$ _____

Stock Symbol and/or CUSIP Number	Number of Whole Shares	Stock Symbol and/or CUSIP Number	Number of Whole Shares
1.		5.	
2.		6.	
3.		7.	
4.		8.	

B. MUTUAL FUND COMPANY TRANSFERS IN KIND / LIQUIDATIONS

- A separate page one is required for each fund transfer or liquidation request.
 - You must indicate transfer or liquidation for each fund (money market funds must be liquidated and transferred as cash).
 - For Transfers In Kind, please mark a dividend/capital gain option (this information will not alter the dividend/capital gain option on assets already held at Scottrade. If dividend/capital gain option is not marked, we will default to reinvest.)

Fund Name/Share Class	Fund Account Number	Symbol	CUSIP
Transfer In Kind Quantity: <input type="checkbox"/> All Shares <input type="checkbox"/> Only ___ shares Dividend/Capital Gain Option <input type="checkbox"/> Reinvest <input type="checkbox"/> Pay in Cash -OR- Liquidate Quantity: <input type="checkbox"/> All Shares <input type="checkbox"/> Only _____ shares			

C. BANK OR CREDIT UNION TRANSFER

TRANSFER ALL CASH
 TRANSFER PARTIAL CASH: \$ _____
 LIQUIDATE CD IMMEDIATELY AND TRANSFER CASH I am aware of and acknowledge any penalty I will incur from an early withdrawal.
 LIQUIDATE CD AT MATURITY AND TRANSFER CASH Submit 2-3 weeks before maturity date: ____/____/____

D. ANNUITY LIQUIDATION

FULL LIQUIDATION - I am aware there may be a surrender charge. - **OR** - PARTIAL LIQUIDATION: \$ _____



LETTER OF AUTHORIZATION TO TRANSFER (If Applicable)

REQUIRED if the account name and/or title you are transferring does not match your Scottrade account title. I/we hereby authorize the transfer of my/our account being held at the firm listed on page 1 of this form and registered as:

Account Title as it appears on your most recent statement

LOA Signatures: **X** _____ DATE _____
 (Account holder(s) ACCOUNT HOLDER SIGNATURE
 must also sign below) **X** _____ DATE _____
 JOINT ACCOUNT HOLDER SIGNATURE
X _____ DATE _____
 JOINT ACCOUNT HOLDER SIGNATURE

To Delivering Firm: Unless otherwise indicated above, please transfer all assets in my account to Scottrade, Inc. ("Scottrade"). I understand that to the extent any assets in my account are not readily transferable, with or without penalties, such assets may not be transferred within the time frames required by New York Stock Exchange Rule 412 or similar rule of FINRA or other designated examining authority. Unless otherwise indicated above, I authorize you to liquidate any nontransferable proprietary money market fund assets that are part of my account, and transfer the resulting credit balance to the successor custodian. I understand that you will contact me with respect to the disposition of any other assets in my securities account that are nontransferable or which cannot be held at Scottrade. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy that obligation. If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, you will cancel all open orders for my account on your books. I affirm that I have destroyed or returned to you all credit/debit cards and/or unused checks issued to me in connection with my securities account. I understand that by transferring my mutual funds to Scottrade, all future correspondence concerning my mutual fund positions must be directed to Scottrade. Furthermore, I acknowledge that while my fund positions are being held in street name with Scottrade, I will not be able to have direct communication with the fund company concerning my account. Therefore, I authorize you to release any information relevant to this transfer to Scottrade.

In the event that this request is submitted by a Custodian for a UTMA or other custodial account, I hereby agree and affirm that proceeds of all withdrawal requests will be directed to meet the best interests of the minor account owner.

For Retirement Accounts: If this transfer is for a qualified retirement account, I have amended the applicable plan so that it names Scottrade as successor custodian. Alternatively, if this transfer is for an Individual Retirement Account (IRA), I have adopted an IRA plan so that it names the successor custodian. If I am transferring an IRA and the IRA type indicated on my statement is different from the IRA I currently maintain at Scottrade (e.g., Traditional IRA vs. Rollover IRA), I hereby authorize Scottrade to commingle my IRA assets.

Fees: If the assets I am transferring are considered nonstandard assets at Scottrade, I understand that I will be charged set-up and maintenance fees. I understand that fractional shares of stock are nontransferable, and I agree that any fractional shares will be liquidated by the delivering firm upon the transfer of the whole shares. The delivering firm may or may not charge a fee for this liquidation. I understand that the delivering firm may or may not assess account fees for the transfer and/or termination of my account with them.

Mutual Fund Dividends and Capital Gains: I understand that my delivering broker may provide Scottrade with mutual fund dividends and capital gains distribution instructions for each mutual fund position so that Scottrade may implement these instructions. In the event that the instructions are not provided by the delivering broker or the dividend and/or capital gains distribution option requested is not available at Scottrade, the instructions will default to reinvest (unless reinvestment isn't available, in which case dividends and capital gains will be paid in cash).

I hereby attest that the information submitted to Scottrade is true and accurate.

SIGN THIS COMPLETED FORM AND RETURN IT TO YOUR LOCAL SCOTTRADE® BRANCH OFFICE* WITH A COPY OF YOUR MOST RECENT ACCOUNT STATEMENT.

***If you are working with an independent registered investment advisor, please contact your advisor for further assistance.**

X _____ DATE _____
 ACCOUNT HOLDER SIGNATURE

X _____ DATE _____
 JOINT ACCOUNT HOLDER SIGNATURE

X _____ DATE _____
 JOINT ACCOUNT HOLDER SIGNATURE

Medallion Signature Guarantee (For Scottrade Use Only)

Medallion Signature Guarantee (For Scottrade Use Only)

FOR SCOTTRADE USE ONLY (Corporate Headquarters Completes)

Single Joint Trust Keogh/QP IRA RothIRA Coverdell ESA Other _____

LETTER OF ACCEPTANCE
 for IRAs and qualified plans:
 be advised that Scottrade
 will accept the listed account as
 successor custodian/trustee.

Authorized Signature Date Date of Trust

Frequently Asked Questions on Transfers

How long will the transfer take?

Full brokerage account transfers are sent via ACATS (Automated Customer Account Transfer Service) and take approximately 7-10 business days. Any residual balances that remain with the delivering brokerage firm after your transfer is completed should follow in 7-10 additional business days. Transfers that are not eligible to be entered on the ACATS system take at least 4 weeks to be completed upon receipt of all necessary paperwork.

Will there be any transfer fees?

Upon receiving your transfer request, your previous institution may charge a fee that they will transfer to your Scottrade® account as a debit and you are responsible for any fees transferred. Scottrade may charge you a fee for some foreign securities.

What about Mutual Fund transfers?

Fill out Section B of the form. There are some mutual fund companies with whom Scottrade has not established an agreement to hold a particular mutual fund. You will have to contact the prior firm to have these funds liquidated. Some financial firms issue their own (proprietary) mutual funds and these mutual funds cannot be transferred to Scottrade. You may, at your choosing, contact the prior firm and liquidate these funds. There may be charges and expenses associated with liquidation. You should review the fund's prospectus for more information.

What about Limited Partnerships?

Scottrade can only transfer NYSE-traded LPs. For all other LPs, please contact your previous firm to either liquidate so proceeds will transfer or have a certificate sent to you.

What about Annuity transfers?

Fill out section D of the form. We can only request liquidation for qualified accounts. Contact your existing insurer to see if they will need any additional documentation, such as the original annuity contract. Also, please be aware that there may be a surrender charge involved with liquidating the annuity.

What is a Transfer In Kind?

Transfer of shares held directly with the mutual fund company to a new Scottrade® brokerage account for the benefit of the client.

For Delivering Organization's Use Only

Receiving Firm Information:

Scottrade, Attn: ACAT Dept.

USPS Mail to: P.O. Box 31759, St. Louis, MO 63131-0759

Overnight Mail to: 500 Maryville Centre Dr., St. Louis, MO 63141-5814

*Please note: If this is a mutual fund transfer, direct all inquiries to the Mutual Fund Department at 314.965.1555 ext. 1610, option 5.

Delivering Firms Any questions regarding this form should be directed to:

Scottrade ACAT Department 314.965.1555

Scottrade® Customers

Please contact your local Scottrade® branch office for assistance.

Delivery Instructions

(All deliveries MUST include the client name and Scottrade® Account Number)

All DTC Eligible Securities	DTC # 0705 FBO Client's Name and Scottrade Account Number
Treasury Securities	Federal Reserve Bank – Cleveland US Bank, N.A./1020 ABA #042000013 For: Scottrade, Inc. Acct. 348000097 FBO Client's Name and Scottrade Account Number
Government Agency Securities	Federal Reserve Bank - Cleveland US Bank, N.A./1020 ABA #042000013 For: Scottrade, Inc. Acct. 385000034 FBO Client's Name and Scottrade Account Number
Fed Wired Monies	US Bank N.A. St. Louis, MO Scottrade, Inc. A/C#: 1001086162 ABA#: 081000210 FBO Client's Name and Scottrade Account Number
Checks- Cash/Margin Account	Scottrade ATTN: ACAT Dept. P.O. Box 31759 St. Louis, MO 63131-0759 FBO Client's Name and Scottrade Account Number
Checks- IRA Accounts	Scottrade ATTN: IRA Dept P.O. Box 31759 St. Louis, MO 63131-0759 FBO Client's Name and Scottrade Account Number
Mutual Fund Registration Cash/Margin Account	Scottrade FBO Client's Name and Scottrade Account Number
Mutual Fund Registration IRA Accounts	Scottrade C/F Client's Name and Scottrade Account Number
Foreign Securities	Merrill Lynch FBO Scottrade, Inc. Please call Scottrade's Stock Record Department at 1-800-888-1980 to establish trade and settlement dates.