

# Scottrade® TRUST ACCOUNT CERTIFICATION

<b>TRUST INFORMATION</b>		
Title of Trust:		
Trust EIN or Living Grantor/Trustor SSN	Original Effective Date of the Trust:	Date of Last Amendment <i>(if applicable)</i>
Names of All Current Trustees:		
<b>GRANTOR/TRUSTOR INFORMATION</b>		
Name:	Date of Birth:	Date of Death <i>(if applicable)</i>
Address:		Social Security Number
<b>ADDITIONAL GRANTOR/TRUSTOR INFORMATION</b> <i>(if applicable)</i>		
Name:	Date of Birth:	Date of Death <i>(if applicable)</i>
Address:		Social Security Number
<b>SUCCESSOR TRUSTEE INFORMATION</b> <i>(You must provide successor trustee information or insert "None")</i>		
Name:	Date of Birth:	Phone Number:
Address:		
<b>CO-SUCCESSOR TRUSTEE INFORMATION</b> <i>(if applicable)</i>		
Name:	Date of Birth:	Phone Number:
Address:		
<b>SECONDARY SUCCESSOR TRUSTEE INFORMATION</b> <i>(if applicable)</i>		
<i>Serves as successor trustee if the above designated successor and/or co-successor trustee(s) are unwilling or unable to act</i>		
Name:	Date of Birth:	Phone Number:
Address:		

As Trustee(s) of the above trust, and in connection with my account application to open and maintain a securities brokerage account with Scottrade, I certify the above to be true, and hereby represent and warrant the provisions listed on page 2 of this Certification.

I agree to Scottrade's Trust Account Certification provisions on page 2 of this document and to indemnify and hold Scottrade its officers, directors, employees, agents, and assigns, harmless from any loss, damage, or claim arising as a result of Scottrade's reliance on the information provided in this document, and from acting upon instructions believed by Scottrade to have originated with the Trustee(s). I consent to the account permissions indicated above, and I agree to abide by the Scottrade Brokerage Account Agreement.

**ALL CURRENT TRUSTEES MUST SIGN.**

X \_\_\_\_\_ X \_\_\_\_\_  
 Trustee Signature Date Trustee Signature Date

X \_\_\_\_\_  
 Trustee Signature Date



SF1014/8-16

Client Verification via  Application  Signature Page  ID \_\_\_\_\_  
 Associate Initials

# SCOTTRADE TRUST ACCOUNT CERTIFICATION - PROVISIONS

*This form is not a trust agreement*

1. The individuals listed on page 1 of this Certification are all present Trustees of the Trust.
2. Scottrade at its sole discretion may:
  - (a) request a partial or full copy of the trust and amendments for clarification of the grantor(s) intent with regard to the Trust, and
  - (b) require the written consent of any or all Trustees prior to acting upon instructions of any Trustee.
3. In consideration of Scottrade opening and maintaining an account for the Trust, executing transactions in such account, and providing such services as Scottrade shall provide from time to time, the Trustee(s) hereby agree:
  - (a) That Scottrade is authorized to follow the instructions of any Trustee authorized to execute a transaction or issue any other instruction with respect to the Trust, and to deliver funds, securities or any other assets in this account to any such Trustee or on any such Trustee's instructions, on the presumption that either (i) the Trust Agreement expressly provides that each Trustee is authorized to act individually, independently and without the consent of the other Trustee(s) for all purposes related to the Trust brokerage account, or (ii) if the Trust Agreement does not contain such an express provision, the Trustee so acting has obtained the requisite consent of the other Trustees in accordance with the requirements of the Trust Agreement.
  - (b) That Scottrade shall be informed in writing at its corporate headquarters of any change, restatement, or amendment to the Trust Agreement which would change any representation, warranty or certification contained herein, including the list of present Trustees, and that until such written notice shall have been received Scottrade may rely on such representations, warranties, and certifications.
4. Scottrade is authorized to follow such actions and instructions of the Trustee(s), with respect to the following powers authorized by the Trust Agreement:
  - (a) Open and maintain an account in the name of the Trust and enter into and execute agreements and do all things which are necessary to open and maintain such account with Scottrade;
  - (b) Buy, sell, exchange, convert, tender, trade, or otherwise acquire or dispose of stocks, bonds, mutual funds, and all other securities;
  - (c) Engage in Margin transactions, upon Scottrade's approval of the trust account for margin transactions, and upon the presumption that the trust agreement provides for margin transactions, pursuant to the margin rules and requirements as set forth by Scottrade;
  - (d) Engage in Options transactions, upon Scottrade's approval of the trust account for options transactions, and upon the presumption that the trust agreement provides for options transactions, pursuant to the options rules and requirements as set forth by Scottrade;
  - (e) Receive notices, demands, confirmations, account statements and communications of any kind relating to the operation of or assets in the account;
  - (f) Direct that the securities be transferred to another account for or transferred into the name of the Trust or any third party, including an account for or in the name of the Trustee, and to direct that funds and other assets be distributed from the Account to the Trust or any third party, including any Trustee, or an account for any of them;
  - (g) Otherwise to manage or exercise control over the account or the assets thereof;
  - (h) Engage in check writing transactions, upon Scottrade's approval of the trust account for check writing transactions, and upon the presumption that the trust agreement provides for check writing transactions, pursuant to the check writing rules and requirements as set forth by Scottrade.